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#303CO

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Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                |
|------------------------|----------------|
| Application Number     | 09/819,561     |
| Filing Date            | March 27, 2001 |
| First Named Inventor   | Larry L. Hood  |
| Group Art Unit         | Unknown        |
| Examiner Name          | Unknown        |
| Attorney Docket Number | 155694-0054    |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Notice to File Corrected Application Papers

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                                      |
|-------------------------|--------------------------------------|
| Firm or Individual name | Ben J. Yorks<br>IRELL & MANELLA, LLP |
| Signature               |                                      |
| Date                    | July 25, 2001                        |

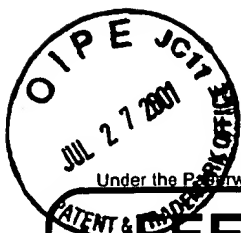
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 25, 2001

|                       |             |
|-----------------------|-------------|
| Typed or printed name | Wendy Jones |
| Signature             |             |

|      |               |
|------|---------------|
| Date | July 25, 2001 |
|------|---------------|

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 0.00

**Compleat if Known**

|                      |                |
|----------------------|----------------|
| Application Number   | 09/819,561     |
| Filing Date          | March 27, 2001 |
| First Named Inventor | Larry Hood     |
| Examiner Name        | Unknown        |
| Group Art Unit       | Unknown        |
| Attorney Docket No.  | 155694-0054    |

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                     |
|------------------------|---------------------|
| Deposit Account Number | 09-0946             |
| Deposit Account Name   | Irell & Manella LLP |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description        | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 710                        | 201 355                    | Utility filing fee     |          |
| 106 | 320                        | 206 160                    | Design filing fee      |          |
| 107 | 490                        | 207 245                    | Plant filing fee       |          |
| 108 | 710                        | 208 355                    | Reissue filing fee     |          |
| 114 | 150                        | 214 75                     | Provisional filing fee |          |

SUBTOTAL (1) (\$ )

**2. EXTRA CLAIM FEES**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | X              |          |
| Independent Claims | -3** =       | X              |          |
| Multiple Dependent |              |                |          |

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  |
|-----|----------------------------|----------------------------|--|
| 103 | 18                         | 203 9                      | Claims in excess of 20                                     |
| 102 | 80                         | 202 40                     | Independent claims in excess of 3                          |
| 104 | 270                        | 204 135                    | Multiple dependent claim, if not paid                      |
| 109 | 80                         | 209 40                     | ** Reissue independent claims over original patent         |
| 110 | 18                         | 210 9                      | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

|     | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description  | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 105 | 130                        | 205 65                     | Surcharge - late filing fee or oath  |          |
| 127 | 50                         | 227 25                     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 | 130                        | 139 130                    | Non-English specification  |          |
| 147 | 2,520                      | 147 2,520                  | For filing a request for ex parte reexamination                            |          |
| 112 | 920*                       | 112 920*                   | Requesting publication of SIR prior to Examiner action                     |          |
| 113 | 1,840*                     | 113 1,840*                 | Requesting publication of SIR after Examiner action                        |          |
| 115 | 110                        | 215 55                     | Extension for reply within first month                                     |          |
| 116 | 390                        | 216 195                    | Extension for reply within second month                                    |          |
| 117 | 890                        | 217 445                    | Extension for reply within third month                                     |          |
| 118 | 1,390                      | 218 695                    | Extension for reply within fourth month                                    |          |
| 128 | 1,890                      | 228 945                    | Extension for reply within fifth month                                     |          |
| 119 | 310                        | 219 155                    | Notice of Appeal   |          |
| 120 | 310                        | 220 155                    | Filing a brief in support of an appeal                                     |          |
| 121 | 270                        | 221 135                    | Request for oral hearing   |          |
| 138 | 1,510                      | 138 1,510                  | Petition to institute a public use proceeding                              |          |
| 140 | 110                        | 240 55                     | Petition to revive - unavoidable   |          |
| 141 | 1,240                      | 241 620                    | Petition to revive - unintentional   |          |
| 142 | 1,240                      | 242 620                    | Utility issue fee (or reissue)   |          |
| 143 | 440                        | 243 220                    | Design issue fee   |          |
| 144 | 600                        | 244 300                    | Plant issue fee  |          |
| 122 | 130                        | 122 130                    | Petitions to the Commissioner  |          |
| 123 | 50                         | 123 50                     | Processing fee under 37 CFR 1.17(q)  |          |
| 126 | 180                        | 126 180                    | Submission of Information Disclosure Stmt                                  |          |
| 581 | 40                         | 581 40                     | Recording each patent assignment per property (times number of properties) |          |
| 146 | 710                        | 246 355                    | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 | 710                        | 249 355                    | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 | 710                        | 279 355                    | Request for Continued Examination (RCE)                                    |          |
| 169 | 900                        | 169 900                    | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

**SUBMITTED BY****Complete (if applicable)**

|                   |                  |                                   |        |           |                |
|-------------------|------------------|-----------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Ben J. Yorks     | Registration No. (Attorney/Agent) | 33,609 | Telephone | (949) 760-0991 |
| Signature         | <i>Ben Yorks</i> |                                   |        | Date      | July 25, 2001  |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/819,561         | 03/27/2001          | Larry L. Hood         | 155694-0054            |

CONFIRMATION NO. 2600

01622  
IRELL & MANELLA LLP  
840 NEWPORT CENTER DRIVE  
SUITE 400  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC00000006138918\*

Date Mailed: 06/01/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE